

# Assessment of *EGFR* gene mutations in circulating free DNA in monitoring of response to EGFR tyrosine kinase inhibitors in patients with lung adenocarcinoma

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The presence of *EGFR* mutations in non-small cell lung cancer (NSCLC) patients is commonly evaluated in tissue material (surgery/biopsy) that may not be both representative of the overall genetic profile, especially for patients with heterogeneous cancer or distant metastases, and sufficient to perform a reliable genetic test (e.g. low tumor cell content). Therefore, a promising alternative in the analysis of *EGFR* profile is circulating free DNA (cf-DNA) that is released into peripheral blood from both normal and tumor cells that have undergone apoptosis or necrosis [1–5]. Molecular analysis of cf-DNA enables detection and monitoring of *EGFR* mutations, as well as detection of the acquired resistance for 1<sup>st</sup> and 2<sup>nd</sup> generation EGFR-tyrosine kinase inhibitors (TKIs) (erlotinib, gefitinib, afatinib and dacomitinib) caused by Thr790Met substitution that sensitizes NSCLC cells for 3<sup>rd</sup> generation EGFR-TKIs (osimertinib, rociletinib) [1–3]. *EGFR* status in plasma or serum is highly concordant with the tumor cells and can be alternatively used in molecular analysis when material from tumor lesions cannot be obtained [1, 4, 5].

In the current study we analyzed the sensitivity of *EGFR* gene examination in liquid biopsy and utility of this material in monitoring changes in *EGFR* status during the EGFR-TKI therapy. The studied group included 23 Caucasian patients (8 male and 15 female, median age: 71 ±9 years) with lung adenocarcinoma and known status of activating *EGFR* mutations (analyzed in formalin-fixed paraffin-embedded (FFPE) and cell blocks). The plasma samples were collected prior to the first EGFR-TKI administration and in 10 patients were re-obtained every 2 months until disease progression. Detailed characteristic of the studied group is presented in Table 1 and on Figure 1.

**Table I.** Characteristics of the studied group

Parameter	Value
Gender:	
Male, n (%)	8 (35)
Female, n (%)	15 (65)
Age:	
Age median ± SD (years)	61 ±11
≥ 65, n (%)	17 (78)
< 65, n (%)	5 (22)
Smoking status:	
Former smokers, n (%)	13 (56)
Non-smokers, n (%)	10 (44)
TNM:	
II, n (%)	1 (4)
IIIA, n (%)	2 (8)
IIIB, n (%)	1 (4)
IIIC, n (%)	1 (4)
IV, n (%)	1 (4)
IVA, n (%)	14 (63)
IVB, n (%)	3 (13)
<i>EGFR</i> mutations:	
Deletions in exon 19, n (%)	11 (48)
Substitution Leu858Arg in exon 21, n (%)	12 (52)

The sensitivity of the ctEGFR Mutation Analysis Kit (Entrogen, USA) was 81.2% (9/11) for deletions in exon 19 and 83.33% (10/12) for substitution Leu858Arg in exon 21. Moreover, we did not observe false positive results in control materials. The concordance between plasma and tissue samples reached 82.61% (19/23), which was lower than the concordance demonstrated by Xiong *et al.* (93.3%), Reck *et al.* (89%), Douillard *et al.* (94.3%) and Mok *et al.* (88%) [1, 2, 4, 5]. On the other hand, Yao *et al.* observed lower concordance (78.21%) between plasma and tissue samples in next generation sequencing (NGS) analysis [6]. However, nowadays digital droplet PCR (dd-PCR), BEAMing PCR and NGS techniques provide the highest sensitivity for mutation analysis in plasma samples and they are the most recommended [2, 6, 7].

In our study most of the plasma samples showed a low copy number (LCN) content of mutated DNA (mean: 7.44%; range: 0.02–23.8%). The content of mutated DNA in plasma samples was statistically lower than the content of mutated DNA in tissue material (Wilcoxon test:  $p <$

0.000035). We observed a significant positive correlation between concentration of mutated DNA in plasma samples and NSCLC stage (Spearman test:  $R = +0.53$ ;  $p = 0.01$ ). Patients with metastatic NSCLC had significantly higher content of mutated cf-DNA than patients with earlier stages of NSCLC (Mann-Whitney *U*-test:  $p = 0.02$ ). Zhu *et al.* suggested the discrepancies between tissue and plasma samples were due to LCN of mutant DNA in plasma [8]. Wei *et al.* demonstrated that decrease of mutated cf-DNA quantity was statistically significant at the beginning of the treatment, with the exception of patients who carried *de novo* Thr790Met mutation [9]. Zhu *et al.* noted decreased concentration of *EGFR* mutated cf-DNA in plasma during the therapy that significantly correlated with reduction of the tumor burden [10]. Mok *et al.* reported that continuous presence of *EGFR* mutations in cf-DNA was a negative predictive factor for overall survival (18.2 months vs. 31.9 months) and correlated with rapid tumor progression [1]. In our study, concentration of mutated cf-DNA dramatic decreased in the first 2 months of treatment and stabilized at a low level. Moreover it did not increase at the moment of clinical progression. Zhou *et al.* observed that content of mutated cf-DNA was the lowest at the moment of the best response to *EGFR*-TKIs and increased to the highest level during the progression of disease in some patients, while in the other group of patients the content of mutated cf-DNA did not increase and remained at a stable, low level [3]. Lee *et al.* showed that NSCLC patients who reached an undetectable level of mutated cf-DNA within the first 2 months of *EGFR*-TKI treatment had a significantly longer progression free survival (PFS) than patients without reduction of content of mutated cf-DNA (10.1 months vs. 6.3 months) [11]. Similarly, Xiong *et al.* observed that patients who had a negative result of *EGFR* analysis in plasma within one month of *EGFR*-TKI therapy had longer PFS than patients with a positive result of cf-DNA molecular examination (11 months vs. 6 months) [2]. Zhu *et al.* observed that higher pre-treatment content of mutated cf-DNA shortens PFS during *EGFR*-TKIs therapy, but reduction of its content to an undetectable level was associated with prolongation of PFS [10].

Evaluation of content of cf-DNA with Thr790Met substitution should be performed at the same time as molecular evaluation of tissue biopsy because *de novo* pre-existing tumor cells with Thr790Met mutation may worsen the prognosis in patients treated with *EGFR*-TKIs [6]. In our study, the pre-treatment concentration of cf-DNA with Thr790Met substitution was undetectable or at a very low level. Presence of this cf-DNA appeared during the therapy, without increase at the

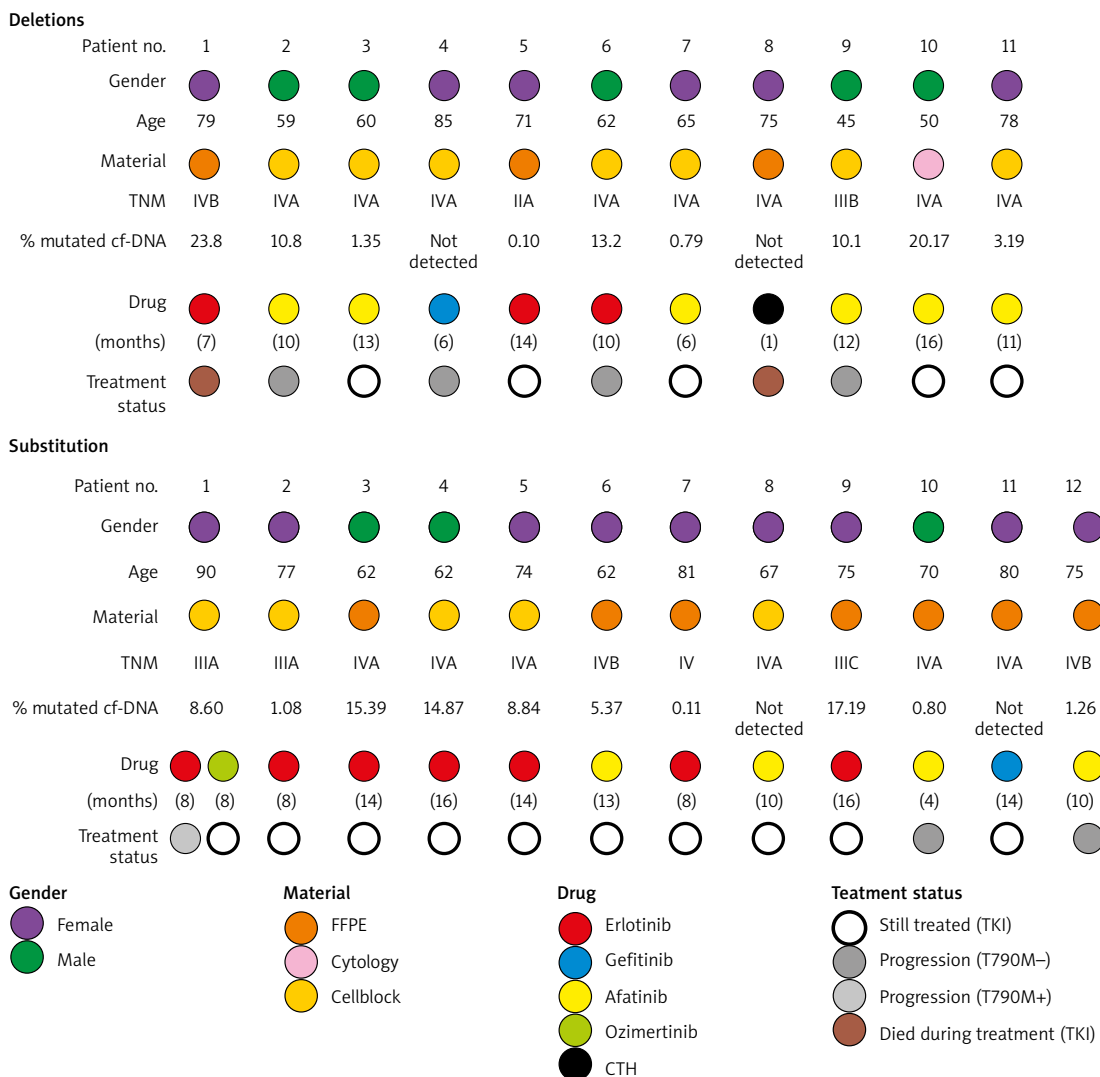
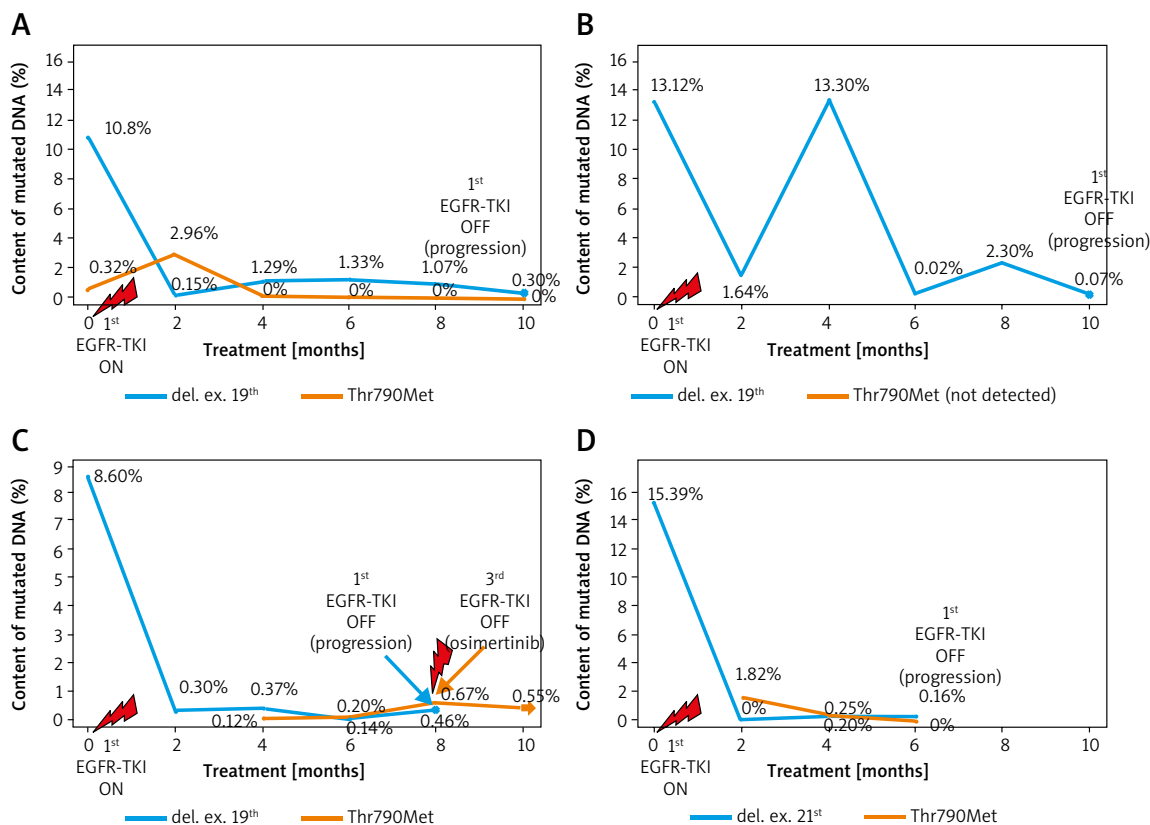


Figure 1. Clinical characteristics of the studied group

moment of progression. Presence of a low copy number of cf-DNA with a Thr790Met substitution did not affect the outcome of patients treated with 1<sup>st</sup> and 2<sup>nd</sup> generation EGFR-TKIs, with the exception of one female patient in whom a steady increase in the mutant DNA content coincided with the clinical progression of the disease. Finally, the patient responded to osimertinib with stabilization of mutant cf-DNA content (Figure 2). Zhu *et al.* mentioned that the overall incidence of *de novo* Thr790Met mutation in tumor tissues was 79.9%, with higher frequency in larger tumors [9].

Xiong *et al.* observed dynamic changes in content of cf-DNA with different *EGFR* mutations in patients treated with 1<sup>st</sup> and 2<sup>nd</sup> generation EGFR-TKIs. Among 24 patients who progressed during observation, 15 developed Thr790Met mutation, which was coexistent with an activating mutation, 7 patients lost *EGFR* gene mutations, 1 patient had only a Thr790Met substitution and 1 patient had only a primary deletion in exon 19.

Additionally, in 3 patients presence of Thr790Met substitution was observed without clinical progression [2]. Riediger *et al.* observed an increase in content of cf-DNA with Thr790Met mutation 2 months prior to clinical progression on EGFR-TKI therapy [12]. In the Zheng *et al.* study, high content of cf-DNA with Thr790Met mutation was observed 3 months before clinical progression on 1<sup>st</sup> or 2<sup>nd</sup> generation EGFR-TKIs and its concentration increased during 4–6 months prior to progression. Moreover, the content of cf-DNA with primary *EGFR* activating mutations also increased during clinical progression. Additionally, the presence of Thr790Met mutation in plasma was an independent prognostic factor for worse overall survival [13]. Thress *et al.* and Bajjal *et al.* reported that molecular progression on EGFR-TKI treatment determined by Thr790Met detection in cf-DNA was determined 6 weeks earlier than clinical and radiological progression. Earlier administration of osimertinib according to



**Figure 2.** Changes in concentration of mutant DNA in plasma samples during EGFR-TKI treatment in four selected cases (A–D). Rapid decrease of mutated cf-DNA content within first 2 months of EGFR-TKI therapy and stabilization of mutated cf-DNA content at low level within next months of therapy. One patient showed an increase in concentration of mutated cf-DNA in the 4<sup>th</sup> month of treatment (B) that could be caused by discontinuation and subsequent dose reduction of EGFR-TKI due to toxicity of therapy. When the recommended dose was administered, concentration of mutated cf-DNA reached a low level. The Thr790Met substitution was detectable from the beginning of the therapy or from the second month of this therapy, in the majority of patients. In 1 patient, cf-DNA with Thr790Met substitution was indicated at a detectable level after 4 months of treatment (C). Concentration of this cf-DNA steadily grew, reaching the highest level at the moment of clinical progression of the disease. At that moment, the content of cf-DNA with Thr790Met substitution was higher than the content of cf-DNA with exon 19 deletion. Therefore, the patient received osimertinib, which caused partial remission and stabilization of the disease

molecular findings of progression prolonged PFS (10.9 months vs. 5.5 months) and the overall response rate (70% vs. 30%) to 3<sup>rd</sup> generation EGFR-TKIs in comparison to patients treated with osimertinib after confirmation of progression in radiologic examination [14, 15].

The study was approved by the Ethics Committee of the Medical University of Lublin, Poland (No. KE-0254/131/2011).

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### Conflict of interest

The authors declare no conflict of interest.

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